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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031

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	Application Number	10/705,763		
TRANSMITTAL	Filing Date	11/10/03		
FORM	First Named Inventor	Roundtree et al.		
(to be used for all correspondence after initial filing)	Art Unit	Not yet known		
	Examiner Name	Not yet known		
Total Number of Pages in This Submission	Attorney Docket Number	109927-135180		
E	NCLOSURES (Check all tha	nt apply)		
Fee Transmittal Form	Drawing(s)	After Allowance communication to Technology Center (TC)  Appeal Communication to Board		
Fee Attached  Amendment/Reply	J Licensing-related Papers Petition	of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final	Petition to Convert to a Provisional Application	Proprietary Information		
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Add			
	Terminal Disclaimer	Other Enclosure(s) (please		
Extension of Time Request	]	Identify below):  Return Receipt Postcard		
Express Abandonment Request	Request for Refund	, totalin recorptive contact		
Information Disclosure Statement	CD, Number of CD(s)emarks			
Certified Copy of Priority Document(s)	andres .			
Response to Missing Parts/ Incomplete Application				
Response to Missing Parts				
under 37 CFR 1.52 or 1.53				
	E OF APPLICANT, ATTORN	EY, OR AGENT		
or Schwabe, Williamson & Wyatt, I	P.C.			
Signature				
Date February, 2004				
CERTI	FICATE OF TRANSMISSION	N/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.				
Typed or printed name Christine Hurdle				
Signature Chusland	Inde	Date February 18, 2004		
This is a second of the second	<i>y</i>			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to re

FEE	TRA	AN:	SMI	TTA	\L
1	for l	FY	200	4	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

Signature

Adam L.K. Philipe:

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Complete if Known				
Application Number	10/705,763			
Filing Date	11/10/03			
First Named Inventor	Roundtree et al.			
Examiner Name	Not yet known			
Art Unit	Not yet known			
Attorney Docket No.	109927-135180			

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
Check Credit card Money Other None		3. ADDITIONAL FEES Large Entity   Small Entity					
Deposit Account:							
Deposit F0000		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid_
Account Number		1051	130	2051		Surcharge - late filing fee or oath	Corala
Deposit Account Schwabe Williamson et al.		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)		1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overp	payments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee	e(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	_	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity		1253	950	2253		Extension for reply within third month	
Fee Fee Fee Fee Description F	ee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee		1255	2,010	2255	1.005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee		1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee		1402	330	2402		Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee		1403	290	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)		1452	110	2452	55	Petition to revive - unavoidable	
		1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below	Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims -20** = X =		1503	640	2503	320	Plant issue fee	
Claims - 3** = X = = Multiple Dependent		1460	130	1460	130	Petitions to the Commissioner	
' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '		1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)		8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20		1809	770	2809	385	Filing a submission after final rejection	
1201 86 2201 43 Independent claims in exce						(37 ČFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, i	•	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent cla over original patent	aims	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in exces and over original patent	s of 20	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)		Other	fee (sp	ecify) _		· · · · · · · · · · · · · · · · · · ·	
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)							
SUBMITTED BY (Complete (if applicable))							

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Registration No.

42,071

Telephone 206 622 1711

February 18, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of:

Roundtree et al.

Application No.: 10/705,763

Filed: 11/10/03

For: SERVICE-VENDOR REQUEST

PROCESSING FOR DATA SERVICE PROCESSING

## **CERTIFICATE OF TRANSMISSION/MAILING**

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2/18/04

Typed or Printed: Christine Hurdle

Signature Charles Land Date 2/18/04

Mail Stop Non-Fee Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## PRELIMINARY AMENDMENT

To The Commissioner for Patents:

## **INTRODUCTORY COMMENTS**

This preliminary amendment is before substantive examination on the merits. Claims 1-15 remain pending. Consideration of the above-captioned application in view of the amendments is respectfully requested.